

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: T. UCHIDA, et al.  
Application No.: 09/869,347  
Filed: SEPTEMBER 7, 2001  
For: MATERIALS FOR POLISHING LIQUID FOR METAL,  
POLISHING LIQUID FOR METAL, METHOD FOR  
PREPARATION THEREOF AND POLISHING METHOD USING  
THE SAME  
Group AU: 2813  
Examiner: L. M. Schillinger  
Confirm. No.: 4090

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97 AND 1.98**

**Mail Stop: RCE**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

April 18, 2007

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of International (PCT) Patent Application Publication No. WO 98/13536, for consideration during continued prosecution of the above-identified application. This document was found in connection with a corresponding Korean Office Action, and is similar to the Patent Document KR 1998-024900 cited in the Korean Office Action. Also enclosed is a Form PTO/SB/08A, listing the enclosed document.

This Information Disclosure Statement is being submitted concurrently with the filing of a Request for Continued Examination (RCE) Transmittal in the above-identified application, and as the necessary Submission therefor. Thus, the RCE presently submitted is proper, and consideration of the enclosed document is clearly proper, notwithstanding prior mailing of a Notice of Allowance and Fee(s) Due mailed February 7, 2007, in the above-identified application.

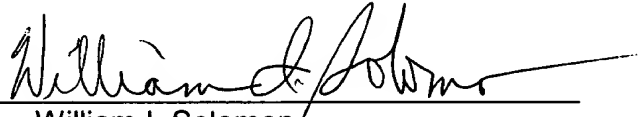
April 18, 2007

In view of all of the foregoing, consideration of the enclosed document, and subsequent thereto, re-issuance of a Notice of Allowance and Fee(s) Due in due course, are respectfully requested.

Please charge any shortage in fees due in connection with the filing of this paper, to the Deposit Account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (case No. 1204.40319X00), and please credit any excess fees to such Deposit Account.

Respectfully submitted,

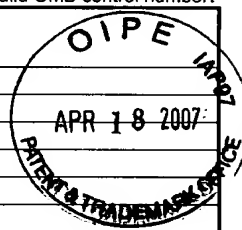
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Substitute for form 1449A/PTO			<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <b>April 18, 2007</b>  <i>(use as many sheets as necessary)</i>			Application Number	09/869,347	
			Filing Date	September 7, 2001	
			First Named Inventor	T. UCHIDA	
			Art Unit	2813	
			Examiner Name	L.M. Schillinger	
Sheet	1	of	1	Attorney Docket Number	1204.40319X00



U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		US-				
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FOREIGN PATENT DOCUMENTS							
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)					
		WO 98/13536		04/02/1998	V. B. Kaufman, et al.		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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